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TO: Commissioner for Patents  
Attn: Examiner William D. Coleman  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: G&C 30794.93-US-WO  
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 22PTO FAX NUMBER: 571-273-8300

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Title of Document Transmitted:	TRANSMITTAL DOCUMENTS (2); SUPPLEMENTAL IDS: PTO-1449 AND REFERENCES
Applicant:	Benjamin A. Haskell et al.
Serial No.:	10/537,644
Filed:	June 6, 2005
Group Art Unit:	2823
Title:	GROWTH OF REDUCED DISLOCATION DENSITY NON-POLAR GALLIUM NITRIDE BY HYDRIDE VAPOR PHASE EPITAXY
Our Ref. No.:	G&C 30794.93-US-WO

Please charge all fees to Deposit Account No. 50-0494 of Gates &amp; Cooper LLP.

By: GHG  
 Name: George H. Gates  
 Reg. No.: 33,500

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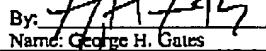
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 11 2006

Applicant: Benjamin A Haskell et al. Examiner: William D. Coleman  
Serial No.: 10/537,644 Group Art Unit: 2823  
Filed: June 6, 2005 Docket: G&C 30794.93-US-WO  
Title: GROWTH OF REDUCED DISLOCATION DENSITY NON-POLAR GALLIUM NITRIDE BY  
HYDRIDE VAPOR PHASE EPITAXY

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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Name: George H. Gates

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Alexandria, VA 22313-1450

Dear Sir:

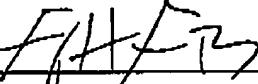
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- Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- Supplemental Information Disclosure Statement and Form PTO-1449.
- Cited Reference(s).

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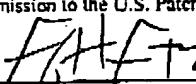
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Applicant:	Benjamin A Haskell et al.	Examiner:	William D. Coleman
Serial No.:	10/537,644	Group Art Unit:	2823
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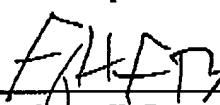
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By:   
Name: George H. Gates

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT (37 C.F.R. §1.97(c))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in International Search Report.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, and/or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

OCT 11 2006

Certification Under 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: October 11, 2006

GHG/sjm

By: G.H. Gates  
Name: George H. Gates  
Reg. No.: 33,500

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Sheet 1 of

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Form 1449*	Docket Number: G&C 30794.93-US-WO	Application Number: 10/537,644
INFORMATION DISCLOSURE STATEMENT		Applicant: Benjamin A. Haskell et al.
IN AN APPLICATION		Filing Date: June 6, 2005      Group Art Unit: 2025

**EXAMINER:** **DATE CONSIDERED:**  
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substance Disclosure Statement Form (PTO-1449)

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